# What is the Dirac Equation?

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### Outline

- Lorentz covariance of the wave equation
- Lorentz non-covariance of the Schrodinger equation
- Finding a Lorentz covariant first order differential equation (Dirac equation)
- Things to worry about
  - Consistency of transformations
  - Adding a mass term
- Dirac notation

### Wave equation: One space dimension

$$rac{\partial^2 \varphi}{\partial t^2} - rac{\partial^2 \varphi}{\partial x^2} = 0$$
 (in units where  $c = 1$ )

Consider the transformation (Lorentz transformation)

$$x'(x,t) = \gamma(v)(x+vt) \dots \text{ where } \gamma(v) = \frac{1}{\sqrt{1-v^2}}$$
$$t'(x,t) = \gamma(v)(t+vx)$$
$$\varphi'(x',t') = \varphi(x,t)$$

Observer moving at speed v

Objective: Demonstrate that 
$$\frac{\partial^2 \varphi'}{\partial t'^2} - \frac{\partial^2 \varphi'}{\partial x'^2} = 0.$$

When the equation looks the same after transformation, we will say the equation is Lorentz covariant.

## Apply the Chain Rule

### Schrodinger Equation

$$i\frac{\partial\psi}{\partial t} = -\frac{1}{2m}\frac{\partial^2\psi}{\partial x^2}$$

Lorentz transformation as usual, with  $\psi'(x',t') = \psi(x,t)$ 

$$i\frac{\partial\psi'}{\partial t'}\gamma(v) + i\frac{\partial\psi'}{\partial x'}v\gamma(v) = -\frac{1}{2m}\left[\frac{\partial^2\psi'}{\partial t'\partial x'}\gamma^2(v)v + \frac{\partial^2\psi'}{\partial {x'}^2}\gamma^2(v) + \frac{\partial^2\psi'}{\partial {t'}^2}\gamma^2(v)v^2\right]$$

The new equation does **NOT** look the same. Not covariant

Find a covariant equation linear in time

Can't do it unless there are at least two components  $\psi^0(x,t), \psi^1(x,t)$ 

Use vector notation

$$\psi(x,t) \equiv \left(\frac{\psi^0(x,t)}{\psi^1(x,t)}\right) \text{ and } \frac{\partial\psi}{\partial t} = \left(\frac{\frac{\partial\psi^0}{\partial t}}{\frac{\partial\psi^1}{\partial t}}\right) \text{ etc.}$$

2.10

Now GUESS!

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial \psi}{\partial t} + \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \frac{\partial \psi}{\partial x} = 0$$

### This is an example of the Dirac equation. Is it covariant?

How does 
$$\psi$$
 transform?  
Recall  $x'(x,t) = \gamma(v)(x + vt)$  ... where  $\gamma(v) = \frac{1}{\sqrt{1-v^2}}$   
 $t'(x,t) = \gamma(v)(t + vx)$ 

We also had to say how the field (or wavefunction) transforms when we change coordinates. For a single component it was easy. For multiple components it's trickier because implicitly we need to be imagining some way to measure each component. For example, if you hold up a yardstick, but rotate the coordinate system, you'll get different x, y and z components describing the yardstick.

#### **GUESS**

$$\psi'^{0}(x',t') = \frac{\sqrt{1-v}}{\sqrt{1+v}}\psi^{0}(x,t)$$

$$\psi'^{1}(x',t') = \frac{\sqrt{1+\nu}}{\sqrt{1-\nu}}\psi^{1}(x,t)$$

### Success

• Start with the Dirac equation and write it out in components

$$\frac{\partial \psi^1}{\partial t} - \frac{\partial \psi^1}{\partial x} = 0$$

$$\frac{\partial \psi^0}{\partial t} + \frac{\partial \psi^0}{\partial x} = 0$$

• Use the chain rule and the transformation law for  $\psi.$ 

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial \psi'}{\partial t'} + \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \frac{\partial \psi'}{\partial x'} = 0$$

This has the same form as the original Dirac equation. So it is covariant.

## Things to worry about #1: transformations?

The  $\psi$  transformation law seems arbitrary. Is it?

Observer 2 moving at speed v' from viewpoint of observer 1

Observer 1 moving at speed v from viewpoint of observer 0 at rest

Observer 2 moves at speed v'' relative to observer 0. Relativity says v'' =

$$= \frac{v'+v}{1+v'v}$$

Remember 
$$\psi'^{0}(x',t') = \frac{\sqrt{1-\nu}}{\sqrt{1+\nu}}\psi^{0}(x,t)$$
. So  $\psi''^{0}(x'',t'') = \frac{\sqrt{1-\nu'}}{\sqrt{1+\nu'}}\psi'^{0}(x',t')$ .

But if transformation law is consistent, then also  $\psi''^0(x'',t'') = \frac{\sqrt{1-\nu''}}{\sqrt{1+\nu''}}\psi^0(x,t)$ . Is it?

Easy to verify that  $\frac{\sqrt{1-v''}}{\sqrt{1+v''}} = \frac{\sqrt{1-v'}}{\sqrt{1+v'}} \frac{\sqrt{1-v}}{\sqrt{1+v}}$  so the transformation law is a consistent "Lorentz group representation"

### Things to worry about #2: Mass term

Our Dirac equation did not have a mass term. A natural guess for an equation with mass term is (recall that  $\psi$  is a vector)

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial \psi}{\partial t} + \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \frac{\partial \psi}{\partial x} + im\psi = 0$$

Rewrite this as

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial \psi}{\partial t} + \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \frac{\partial \psi}{\partial x} + \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} im\psi = 0$$

Now perform a Lorentz transformation

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial \psi'}{\partial t'} + \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \frac{\partial \psi'}{\partial x'} + \begin{pmatrix} 1 - \nu & 0 \\ 0 & 1 + \nu \end{pmatrix} \frac{im}{\sqrt{1 - \nu^2}} \psi' = 0$$

Not Lorentz covariant! The easiest generalization is for  $\psi$  to have 4 components. Then we can have a Lorentz covariant first order DE with a mass term.

### Dirac notation

$$\begin{aligned} & (x^0, x^1) \equiv (t, x) \qquad \partial_0 \equiv \frac{\partial}{\partial x^0} \equiv \frac{\partial}{\partial t} \qquad \partial_1 \equiv \frac{\partial}{\partial x^1} \equiv \frac{\partial}{\partial x} \\ & \gamma^0 \equiv \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \qquad \gamma^1 \equiv \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \end{aligned}$$

Then rewrite 
$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{\partial \psi}{\partial t} + \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \frac{\partial \psi}{\partial x} = 0$$
 as  $\gamma^0 \partial_0 \psi + \gamma^1 \partial_1 \psi = 0$  or  $\gamma^\mu \partial_\mu \psi = 0$   
or even more elegantly as  $\partial \psi = 0$ 

If you want to add a mass term, and to extend to 3 special dimensions, you need to have 4 components of  $\psi$ , the  $\gamma$  matrices become 4 x 4, you have 4 coordinates instead of 2, and there are 4  $\gamma$  matrices instead of 2.

$$\not \! \partial \psi + im\psi = 0$$