# Superfluids – Slides II: Vortices

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September 14, 2024

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What is a Vortex?

Cylindrical Vortex

Rotating cylinder

Ring vortices

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## What is a Vortex? - Phase Current

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• Lowest-energy state has \nabla \theta = 0.
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So we have to pick a vacuum with fixed  $\theta = \theta_0$ .

In the vacuum state, the phases at different positions are aligned( abla heta = 0 )

Non-vacuum states have a phase current  $J = \frac{1}{m} (\rho \nabla \theta)$ .

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Non-vacuum states may have a phase current ( abla heta 
eq 0 )

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• Continuity equation (Noether)  $\dot{\rho} = \nabla \cdot \mathbf{j}$ .

# What is a Vortex? - Phase Discontinuity

- A vortex requires a spacial region of discontinuous / undefined  $\nabla \theta$ .
  - Mathematically is an excluded region or point.
- For example, flow around a torus.



Black hole - center missing

Other example, flow around a drain.



Vortex where  $\nabla \theta$  blows up at center

## What is a Vortex? - Mathematical origin

- Our delta-function interaction Hamiltonian is only an approximation.
- Mathematically, exclude the immediate region around particles.
- Replace unknown region with conditions observed experimentally.

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- Key example: a vortex core (coming soon)
- Has the effect of modifying the Hilbert space.
  - Extends the spectrum (new excitations).

### What is a Vortex? - Circulation

• (Noether) 
$$\mathbf{p} = \nabla \theta$$
, so  $\mathbf{v} = \frac{1}{m} \nabla \theta$ .

"Circulation" C is the integral of v around a contour.



Full contour starts at  $\Gamma_i$  and ends at  $\Gamma_f$ 

$$\mathcal{C} = \oint_{\Gamma} \mathbf{v} \cdot d\mathbf{s} = \frac{1}{m} \oint_{\Gamma} \nabla \theta \cdot \mathbf{s} = \frac{1}{m} \left( \theta(\Gamma_{f}) - \theta(\Gamma_{i}) \right).$$

•  $\psi(\mathbf{x}) = e^{i\theta(\mathbf{x})}\sqrt{\rho(\mathbf{x})}$  is single-valued  $\implies \theta(\Gamma_{f}) - \theta(\Gamma_{i}) = 2\pi n.$ 

• If  $\nabla \theta$  is differentiable in *S*, then Stoke's theorem  $\implies n = 0$ .

$$\mathcal{C} = \oint_{\Gamma} \mathbf{v} \cdot d\mathbf{s} = \int_{S} (\nabla \times \mathbf{v}) \cdot \mathbf{dA} = \frac{1}{m} \int_{S} (\nabla \times \nabla \theta) \cdot \mathbf{dA} = 0.$$

• Circulation is "quantized" with  $C = \frac{2\pi}{m}n$ 

- n = 0 if phase is differentiable
- $n \neq 0$  is a **vortex core**  $\implies$  non-differentiable

## What is a Vortex? - Vortex Cores

- Feynman calls them "vortex lines" because width is atomic (< 1 Å).
- A vortex line cannot have free ends. Suppose otherwise.



The contour  $\gamma$  goes around the core, which is a line segment. Pick a bounding surface not crossed by the core.

• By assumption,  $\theta$  is differentiable away from the line.

- $\nabla \times \mathbf{v} = 0 \text{ on bounding surface.}$
- By Stokes theorem,  $C = 0 \implies$  segment isn't a vortex core.

There can be core lines closed in a loop or between walls.



What is a Vortex? – Gross-Pitaevskii equation

Recall, our superfluid Lagrangian is

$$\mathcal{L} = i\psi^*(\mathbf{x})\partial_0\psi(\mathbf{x}) - rac{1}{2m} 
abla \psi^*(\mathbf{x}) \cdot 
abla \psi(\mathbf{x}) - rac{g}{2} \left(rac{\mu}{g} - \psi^*(\mathbf{x})\psi(\mathbf{x})
ight)^2 - V(\mathbf{x}).$$

which now includes an external potential  $V(\mathbf{x})$  for the walls.

Euler-Lagrange equation is

$$i\dot{\psi}(t,\mathbf{x}) = \left[\frac{-\nabla^2}{2m} + V(\mathbf{x}) - \mu + g|\psi(\mathbf{x}')|^2\right]\psi(\mathbf{x})$$

Called Gross-Pitaevskii equation. Generalizes Schrodinger equation.

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# Cylindrical Vortex – Cylindrical stationary GP equation

Superfluid in a cylinder, with a vortex core through center.

Take V to be 0 inside and  $\infty$  outside the cylinder. Radius R, length L >> R.

• Stationary solution has  $\dot{\psi} = 0$  and also  $\frac{\partial H}{\partial \psi} = 0$ .

Ground state in Hilbert space with topological defect

- Cylindrical coordinates: r,  $\phi$ , z. Ansatz  $\psi = \sqrt{\rho} e^{in\phi}$ .
- ► Scaled variables:  $\tilde{\rho} = \frac{g}{\mu}\rho$ ,  $\alpha = \sqrt{m\mu}r$ . Define  $\rho' = \frac{d\rho}{d\alpha}$ , etc.
- Stationary GP equation for ansatz with scaled variables

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ho}''+rac{ ilde{
ho}'}{lpha}-2\left( ilde{
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ight) ilde{
ho}=0.$$

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# Cylindrical Vortex – Stationary Solution

Numerical solution



Density of cylindrical vortices for winding numbers n = 0, 1 and 2. The x-axis is the dimensionless distance  $\alpha$ . The curves asymptote to 1 as  $\alpha \to \infty$ . The variable *I* is equivalent to *n* in the text.

- $\blacktriangleright \lim_{\alpha \to 0} \tilde{\rho}(\alpha) \propto \alpha^n.$
- $\blacktriangleright \lim_{(\text{large }\alpha)} \tilde{\rho}(\alpha) \to 1 \frac{n^2}{4\alpha^2}.$
- Derivation of the velocity:
  - From the definition of circulation,  $C = 2\pi v r$ .
  - We also showed  $C = \frac{1}{m} \left( \theta(\Gamma_f) \theta(\Gamma_i) \right) = \frac{2}{m} \pi n.$

So 
$$v = \frac{n}{rm}$$

## Cylindrical Vortex – Cutoff Kinetic Energy

- Large-*r* approximation: Take integrals from  $r = r_c$  to r = R.
- Cutoff kinetic energy = integral from  $r_c$  of mass density  $\times v^2/2$ .
  - Change variables from  $r, \rho$  to  $\alpha, \tilde{\rho}$ , and  $r_c, R$  to  $\bar{r_c} = \sqrt{m\mu}r_c$ ,  $\bar{R} = \sqrt{m\mu}R$ , use a cylindrical measure and set  $v = \frac{n}{rm}$ .

$$\begin{split} \mathsf{K} \mathsf{E}_{>r_c} &= \frac{2\pi}{mg} L \int_{\bar{r_c}}^{\bar{R}} m \tilde{\rho}(\alpha) \frac{v^2}{2} \alpha d\alpha \\ &= \frac{\pi \mu}{mg} L \int_{\bar{r_c}}^{\bar{R}} \tilde{\rho}(\alpha) \frac{n^2}{\alpha^2} \alpha d\alpha \end{split}$$

Use the large-α approximation of ρ̃.

$$\begin{split} \mathsf{K} \mathsf{E}_{>r_c} &= \frac{\pi L n^2 \mu}{mg} \int_{\bar{r_c}}^{\bar{R}} \left( 1 - \frac{n^2}{4\alpha^2} \right) \frac{1}{\alpha^2} \alpha d\alpha \\ &= \frac{\pi L \rho_0 n^2}{m} \left( \log(R/r_c) + \mathcal{O}(\frac{1}{r_c^2}) \right), \end{split}$$

# Cylindrical Vortex – Total Energy

▶ Total E also requires  $KE_{\leq r_c}$  and also PE. We show both are small.

Total KE (cylindrical coords.) is \$\tilde{\varphi}'' + \frac{\tilde{\varphi}}{\alpha} - n^2 \frac{\tilde{\varphi}}{\alpha^2}\$
 \$\tilde{KE}\_{\leq r\_c}\$

- Integral near  $\alpha = 0$  has  $\rho(\alpha) \propto \alpha^n$  so KE  $\rightarrow 0$ .
- lntegral approaching  $\bar{r}_c$  from below is dominated by  $\log(\bar{r}_c)$ .

• So 
$$KE_{\leq r_c} \approx \mathcal{O}(\frac{L\rho_0}{m}\log(\bar{r_c})).$$

- PE is a sum of terms below cutoff and above cutoff
  - Above cutoff

$$\begin{aligned} \mathsf{PE}_{>\bar{r}_c} &= \frac{\pi L \mu}{\mathsf{mg}} \int_{\bar{r}_c}^{\bar{R}} \alpha d\alpha \, (1-\bar{\rho})^2 \\ &\approx \frac{\pi L n^2 \mu}{8\mathsf{mg}} \left(\frac{1}{\bar{r}_c^2} - \frac{1}{\bar{R}^2}\right). \end{aligned}$$

• Similar to discussion of  $KE_{\leq r_c}$ ,  $PE_{\leq r_c} \approx \mathcal{O}\left(\frac{1}{\overline{r_c^2}}\right)$ 

$$\blacktriangleright E \approx \frac{\pi L \rho_0 n^2}{m} \log(\frac{R}{r_c}) \left( 1 + \frac{\mathcal{O}(\log(\bar{r}_c))}{\log(\frac{R}{r_c})} + \mathcal{O}\left(\frac{1}{\bar{r}_c^2}\right) \right).$$

• Set  $r_c$  to atomic length. For  $R >> r_c$  results are insensitive to  $r_c$ .

# Rotating cylinder – Initial state



Rotating can of helium of radius  $R_0$ 

- Solid helium created near 0°K under pressure > 25 atm.
- The container is rotated at angular velocity  $\omega$ .
  - Total angular momentum

$$J = 2\pi L \int_0^{R_0} (m\rho_0 r^2 \omega) r dr$$
$$= \pi L m \rho_0 \omega \frac{R_0^4}{2}.$$



$$\begin{split} E_{R_0}^{K} &= 2\pi L \int_0^{R_0} \left( m \rho_0 \frac{(r\omega)^2}{2} \right) r dr \\ &= \pi L m \rho_0 \omega^2 \frac{R_0^4}{4}. \end{split}$$

# Rotating cylinder – Single vortex energy

Conserve angular momentum by picking the winding number *n*.

Using 
$$v = \frac{n}{rm}$$
  

$$J_{\text{vortex}} = 2\pi L \int_{0}^{R_0} \left( m\rho_0 r \frac{n}{rm} \right) r dr$$

$$= \pi L \rho_0 n R_0^2,$$

• Assume one vortex so set  $J_{vortex} = J$ . Then

$$n=m\omega\frac{R_0^2}{2}.$$

Vortex energy

$$E \approx \frac{\pi L \rho_0 n^2}{m} \left( \log(R_0/r_c) + \mathcal{O}(\frac{1}{\tilde{r}_c^2}) \right)$$
$$= \frac{\pi L m \omega^2 \rho_0 R_0^4}{4} \log(R_0/r_c)$$
$$= \log(R_0/r_c) E_R^K.$$

The single vortex energy is much greater than initial kinetic energy.
 So isn't thermodynamically favored.

Rotating cylinder – Vortex array hypothesis

Hypothesize that a vortex array has lower energy.

- Each vortex has winding number n = 1.
- Vortices are closely spaced.
- Vortices have uniform density.



A circular portion of the helium container of radius R, seen from above

• Winding number at r is n(r), so  $v(r) = \frac{n(r)}{rm} = \left(\frac{n(R_0)}{mR_0^2}\right)r$ .

- Looks like rigid rotation with  $\omega' = \frac{n(R_0)}{mR_a^2}$ .
- Explanation: between vortices, velocities tend to cancel.

## Rotating cylinder – Vortex array energy

- For conservation of angular momentum,  $\omega' = \omega$ .
  - Therefore  $n(R_0) = mR_0^2\omega$ .
  - The line density is  $\tilde{n} = \frac{n(R_0)}{\pi R_0^2} = \frac{m\omega}{\pi}$ .
  - Distance between cores  $\approx \sqrt{\tilde{n}}$ .
  - If  $\omega = 1 \text{ rad/sec}$ , then cores are about 2mm apart.

#### For total energy

- Per vortex use energy formula with  $r_c = 4.0 \text{\AA}$  and R = 2 mm.
- Then multiply by number of vortices  $n(R_0) = mR_0^2\omega$ .
- Total array energy

$$E_A = (m\omega R_0^2) \frac{\pi L\rho_0}{m} \log(R/r_c)$$
  
=  $\omega L\pi R_0^2 \rho_0 \log(R/r_c)$   
=  $14\rho_0 \omega \pi L R_0^2$ .

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# Rotating cylinder – Ground state

• Compare the array energy  $E_A$  to the original solid cylinder  $E_{R_0}^K$ .

$$\frac{E_A}{E_R^K} = \frac{14\rho_0\omega\pi LR_0^2}{\pi Lm\rho_0\omega^2\frac{R_0^4}{4}}$$
$$= \frac{64}{m\omega R_0^2}$$

• Set  $R_0 = 1 \text{ cm}$ ,  $\omega = 1 \text{ rad/sec}$ , and  $\frac{1}{m} = 0.00015 \text{ cm}^2/\text{sec}$ .

• The mass value is for helium-4, with  $\hbar = 1$ .

▶ Then  $\frac{E_A}{E_R^K} \approx 10^{-2}$ . The vortex array is thermodynamically favored.



# Ring vortices - Outline of discussion



A smoke ring. The core is the center and all around it, there is a vortex flow that circulates around the core.

- We'll treat a ring like an excitation of the fluid ground state.
- The most symmetric closed loop is circular.
- Generalize the previous vortex velocity equation  $v = \frac{n}{rm}$ .
- Lowest energy excitation has n = 1.
- Each point on the ring influences v at every other point.
- We'll show that v is perpendicular to the ring.
- Then we compute the momentum and energy of the ring.
- From dispersion relation, find critical velocity for superfluidity.
- This critical velocity is much small than the Bogoliubov  $v_c$ .

## Ring vortex - velocity

- Vorticity is related to velocity, as current is related to magnetic field.
- By analogy with magnetostatics Biot Savart law,

$$\mathbf{v}_{\mathrm{P}} = \frac{1}{2m} \int \frac{d\mathbf{I} \times \mathbf{r}}{r^3}$$

where

- the integral ranges over positions on the core
- r is the displacement from point P to the core position
- dl is the differential line segment at the point



Derivation of vortex ring velocity. P. 115 of Landau and Lifshitz Vol. 9.

v<sub>P</sub> is perpendicular to the ring. Compute the integral with cutoff.

$$\mathbf{v}_{\mathrm{P}} = \left(\frac{1}{8mR_0}\right) 2 \int_{\frac{r_c}{R_0}}^{\pi} \frac{d\theta}{\sin\frac{1}{2}\theta} \approx \frac{1}{2mR_0} \log\frac{R_0}{r_c}.$$

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#### Ring vortex – energy and momentum

Recall energy of a linear vortex of length L and max radius R

$$E pprox rac{\pi L 
ho_0 n^2}{m} \log(rac{R}{r_c})$$

Picture smoke ring.

• Length is  $L = 2\pi R_0$ .

• Vortex lines are 0 at center, so max radius  $R \approx R_0$ .

n = 1 is lowest-energy excitation

Substitute for *L*, *n* and *R* to get ring vortex energy.

$$E \approx \frac{2\pi^2 R_0 \rho_0}{m} \log(\frac{R_0}{r_c})$$

• Integration  $\implies p \approx 2\pi^2 R_0^2 \rho_0$ 

Dispersion relation is

$$E \approx \frac{\pi \sqrt{\rho_0}}{\sqrt{2}m} \sqrt{\rho} \log \left(\frac{p}{2\pi^2 \rho_0 r_c^2}\right) \tag{1}$$

# Ring vortex - critical velocity

Dispersion in thin aperture





$$v_{\rm crit} = \min_p \frac{E(p)}{p}.$$

For large 
$$p$$
,  $\frac{E(p)}{p} \rightarrow 0$ .

• But  $R_0 < D$  where D is radius of the enclosure, so  $p < 2\pi^2 D^2 \rho_0$ .

Since 
$$p \approx 2\pi^2 R_0^2 \rho_0$$
, then  $p < 2\pi^2 D^2 \rho_0$ .  
So  $v_{\text{crit}} = \frac{\hbar}{mD} \log \frac{D}{r_c}$ . (*reinstate*  $\hbar$  previously set to 1)

▶ Use Feynman values:  $\frac{\hbar}{m} = 1.5 \times 10^{-4} {
m cm/s}, D = 10^{-5} {
m cm}, r_c = 4 {
m \AA}$ 

- Leads to  $v_{\rm crit} \approx 80 \, {\rm cm/sec.}$
- Experimental value is about 20 cm/sec.
- Much closer than Bogoliubov prediction.